

JOINT DEGREE IN FINANCIAL ECONOMICS CERTIFICATION OF A DISSERTATION PROSPECTUS

Completion of this form allows the Department to certify that you have met all the requirements for your dissertation prospectus. Complete sections 1 to 4 before your defense of your thesis proposal. Then take this form to your defense, for your committee members to sign section 5.

1. Your name: _____ Student ID #: _____

2. You must have taken four field sequences, of at least two courses each, for letter grade:

Field Name	Course Number	Instructor	Quarter & Year	Grade
Asset Pricing (at least 2 of FINC 585-1,2,3)				
Corporate Finance (at least 2 of FINC 586-1,2,3)				
Economic History requirement (1)				

Other courses if the total number of courses listed above is less than nine				

(1) If Economic History is one of your fields, record the courses you have taken above and not on this line

3. Finance Comprehensive Exam

Student to initial one of these statements:

I achieved a 3.6 GPA across the four or more finance doctoral courses (FINC 585 and FINC 586)

or

I passed the comprehensive Finance exam

4. Finance Second Year Research Paper / Economics 501 research paper presentations:

	Quarter & Year	Paper Title	Grade	Date of Presentation
1.				
2.				

4. Dissertation Prospectus Examination (also known as “Thesis Proposal Defense”):

Proposed Title of Thesis: _____

Date of Examination: _____

Committee Members Signatures(*):

PRINTED NAME	SIGNATURE	ROLE

* The committee must include no fewer than four individuals. At least two, including the chair, must be members of the Northwestern University Graduate Faculty. The chair of the committee must hold a tenure-line appointment in the Economics Department or the Finance Department or have a voted courtesy appointment in one of these departments. In the event that the committee chair holds a courtesy appointment, at least one other member of the committee must hold a tenure-line appointment in the Economics or Finance Departments.

5. Student Signature: _____ Date: _____

Return to the Graduate Program Manager along with a copy of your transcript (unofficial print out from the web is acceptable).

FOR OFFICE USE ONLY:

APPROVED BY DGS: _____

ENTERED INTO SES: _____